



**YellowBrickRoad**  
Wealth Management

---

# SHAREHOLDER COMMUNICATION POLICY

October 2020

## **1. Introduction**

The Company recognises that shareholders, in addition to their right to be informed in a timely manner of all major developments affecting the Company, should have ready access to information that is balanced and understandable about Company proposals or meetings. This policy assists shareholders to understand how to access this information and any other relevant information regarding general meetings.

## **2. Shareholder Information**

### **2.1 Key Information**

Information is provided to the Company's shareholders through:

- Releases to the ASX in accordance with our continuous and periodic disclosure obligations
- The Company website
- Annual Report
- General meetings.

The Company encourages shareholders to receive shareholder information electronically by registering their email address with the company's share registry Computershare.

### **2.2 ASX Releases**

The Company makes announcements to the ASX in a timely manner in accordance with the ASX Listing Rules.

All announcements made to the ASX are available to shareholders under the investor relations section of the Company's website, or under the Company Announcements section of the ASX website.

### **2.3 The Company's Website**

The Company's website is a key source of information for shareholders and is used to complement the official release of company information to the market. The Company places the following information on the website:

- All Company announcements following confirmation of their release to the market
- Annual Reports
- Analysts or media briefings (or their summaries)
- Press/media releases
- General meeting information, including a full text of notices of meetings, explanatory material and a meeting transcript (or its summary).

The Company has a clearly marked corporate governance section on its website. This corporate governance section includes information about the Company's directors and our corporate governance policies so that our shareholders can be assured the Company is fulfilling its corporate governance disclosure obligations under the ASX Corporate Governance Council's Principles and Recommendations.

### **3. Annual Report**

#### **3.1 Key Information**

The Annual Report contains key financial, operating and corporate information about the Company in a concise format.

Unless the share registry has been notified otherwise, the Annual Report will be emailed to all shareholders (to the email address recorded on the Company's share registry) prior to the AGM within the timeframe set by the Corporations Act.

The Annual Report for the current year is available under the investor relations section of the Company's website.

Shareholders can also contact Computershare if they require a copy of the Annual Report.

#### **3.2 Annual General Meeting**

The AGM provides an important opportunity for shareholders to express their views to the Company's board and management and to vote on Company proposals. All shareholders are encouraged to attend the AGM.

The date, time and location of the AGM will be provided:

- In the Notice of AGM
- In the Annual Report
- Under the investor relations section of the Company's website.

The Notice of Meeting and any explanatory statements will be posted under the investor relations section of the Company's website, in addition to being sent to shareholders within the timeframe set by the Corporations Act.

At the AGM shareholders will be invited to ask questions about or comment on the results, operations, strategy and management of the Company. The chair will provide the shareholders present a reasonable opportunity to ask questions and discuss proposals. The auditor will be available at the meeting to answer questions and comment on matters relating to the audit.

### **4. Shareholder Privacy**

The Company recognises that privacy is important and will not disclose registered shareholder details unless required by law. Shareholder details will only be used in accordance with applicable privacy laws.

## 5. Share Registry

<b>Share Registry:</b>	<b>Computershare</b>
<b>Contact:</b>	Customer service representative
<b>Phone:</b>	+61 (0)3 9415 4000 or 1300 850 505 (within Australia)
<b>Email:</b>	<a href="https://www-au.computershare.com/Investor/#Contact/Enquiry">https://www-au.computershare.com/Investor/#Contact/Enquiry</a>
<b>Address:</b>	Computershare Investor Services Pty Limited GPO Box 2975 Melbourne VIC 3001 Australia

**Effective Date: 29 October 2020**